

Frobenius reciprocity: symplectic, prequantum, diffeological*

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Abstract: Ratiu–Z.[†] established “Frobenius reciprocity” as a bijection t between certain symplectically reduced spaces (which need not be manifolds), and conjectured:

- t is a diffeomorphism, relative to the subquotient diffeologies of these spaces;
- t respects the reduced diffeological 2-forms they may (or might not) carry.

We *prove this*, and give *new sufficient conditions* for the reduced forms to exist.

* [arXiv:2403.3927](https://arxiv.org/abs/2403.3927), joint with Gabriele Barbieri and Jordan Watts.

† [arXiv:2007.9434](https://arxiv.org/abs/2007.9434), building on ideas of Guillemin–Sternberg (1983).

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reduction

§2. Diffeology

§3. Orbifolds

§4. Strict
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§5. Locally
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§6. Proper
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§7. Frobenius
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§1. Symplectic
reduction

Let (X, ω, Φ) be a Hamiltonian G -space (G : Lie group, Φ : equivariant moment map). The *reduced space*

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$$X//G := \Phi^{-1}(0)/G$$



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need not be a manifold; but it has a natural (“subquotient”) *diffeology*. It may or might not carry a reduced 2-form:

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We say that $X//G$ *carries a reduced 2-form* if there is a (diffeological) 2-form $\omega_{X//G}$ such that $j^*\omega = \pi^*\omega_{X//G}$, where

$$\begin{array}{ccc} \Phi^{-1}(0) & \xleftarrow{j} & X \\ \pi \downarrow & & \\ X//G & & \end{array}$$

Note: we will see that if $\omega_{X//G}$ exists, then it is unique (and closed).

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Important special case (which sounds more general):

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Example: $\text{Hom}_G(X_1, X_2)$ (Guillemin-Sternberg 1982)

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$$\text{Hom}_G(X_1, X_2) := (X_1^- \times X_2) // G$$

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where (X_i, ω_i, Φ_i) are Hamiltonian G -spaces and $X^- := (X, -\omega, -\Phi)$.
So the product here has diagonal G -action, 2-form $\omega_2 - \omega_1$, and
moment map $\Phi(x_1, x_2) = \Phi_2(x_2) - \Phi_1(x_1)$.

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Define $\mathcal{P} := \bigcup_{n \in \mathbf{N}, U \in \tau_n} C^\infty(U, X)$. This satisfies:

(D1) *Covering.* All constant maps $\mathbf{R}^n \rightarrow X$ are in \mathcal{P} , for all n .

(D2) *Locality.* Let $V \xrightarrow{\beta} X$ be a map with $V \in \tau_n$. If every point of V has an open neighborhood U such that $\beta|_U \in \mathcal{P}$, then $\beta \in \mathcal{P}$.

(D3) *Smooth compatibility.* Let $U \rightarrow V \rightarrow X$ be maps with $(U, V) \in \tau_n \times \tau_m$, $\beta \in \mathcal{P}$ and $\phi \in C^\infty(U, V)$, then $\beta \circ \phi \in \mathcal{P}$.

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Let X be a set. A *diffeology* on X is a subset \mathcal{P} of $\bigcup_{n \in \mathbf{N}, U \in \tau_n} \text{Maps}(U, X)$ satisfying (D1–D3). We call its members with domain $U \in \tau_n$, *n-plots*.

A map $(X, \mathcal{P}) \xrightarrow{F} (Y, \mathcal{Q})$ of diffeological spaces (: sets with diffeologies) is called *smooth* if $P \in \mathcal{P}$ implies $F \circ P \in \mathcal{Q}$.

If $(X, \mathcal{P}) \xrightarrow{\text{id}} (X, \mathcal{Q})$ is smooth, i.e. $\mathcal{P} \subset \mathcal{Q}$, we call \mathcal{P} *finer* and \mathcal{Q} *coarser*.

E.g.: $\{\text{locally constant maps}\} =: \mathcal{P}_{\text{discrete}} \subset \mathcal{P} \subset \mathcal{P}_{\text{coarse}} =: \{\text{all maps}\}$.

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Let X be a set. A **diffeology** on X is a subset \mathcal{P} of $\bigcup_{n \in \mathbf{N}, U \in \tau_n} \text{Maps}(U, X)$ satisfying (D1–D3). We call its members with domain $U \in \tau_n$, **n -plots**.

A map $(X, \mathcal{P}) \xrightarrow{F} (Y, \mathcal{Q})$ of diffeological spaces (: sets with diffeologies) is called **smooth** if $P \in \mathcal{P}$ implies $F \circ P \in \mathcal{Q}$.

If $(X, \mathcal{P}) \xrightarrow{\text{id}} (X, \mathcal{Q})$ is smooth, i.e. $\mathcal{P} \subset \mathcal{Q}$, we call \mathcal{P} **finer** and \mathcal{Q} **coarser**.

E.g.: $\{\text{locally constant maps}\} =: \mathcal{P}_{\text{discrete}} \subset \mathcal{P} \subset \mathcal{P}_{\text{coarse}} := \{\text{all maps}\}$.

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$$\begin{array}{c} Y \\ \uparrow i \\ X \end{array}$$

So every manifold has a canonical diffeology. But also:

- Let Y be a diffeological space and $i : X \rightarrow Y$ an injection. Then X has a coarsest diffeology making i smooth, the *subset diffeology*. Its plots are the maps $P : U \rightarrow X$ such that $i \circ P$ is a plot of Y .

Universal property: A map F to X is smooth iff $i \circ F$ is smooth.

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• The promised **subquotient diffeology** of $X//G = \Phi^{-1}(0)/G$ results: take subset diffeology on $\Phi^{-1}(0)$, then quotient — or *equivalently*, as one can show, take quotient diffeology on X/G , then subset.

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where $s =$ quotient map by the equivalence relation ' $F(x_1) = F(x_2)$ ', $\tilde{F} =$ bijection of that quotient with $F(X)$, $i =$ inclusion of that image into Y . With quotient (resp. subset) diffeology on X/\sim (resp. $F(X)$), the universal properties we saw imply: F smooth $\Leftrightarrow \tilde{F}$ smooth.

Definitions

- F is *strict* if both F and \tilde{F}^{-1} are smooth (i.e., \tilde{F} is a *diffeomorphism*).
- An *induction* is a strict injection. *Example*: inclusion of a subset.
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$$\begin{array}{ccc}
 X & \xrightarrow{F} & Y \\
 s \downarrow & & \uparrow i \\
 X/\sim & \xrightarrow{\dot{F}} & F(X),
 \end{array}
 \quad F = i \circ \dot{F} \circ s,$$

where $s =$ quotient map by the equivalence relation ' $F(x_1) = F(x_2)$ ', $\dot{F} =$ bijection of that quotient with $F(X)$, $i =$ inclusion of that image into Y . With quotient (resp. subset) diffeology on X/\sim (resp. $F(X)$), the universal properties we saw imply: F smooth $\Leftrightarrow \dot{F}$ smooth.

Definitions

- F is **strict** if both \dot{F} and \dot{F}^{-1} are smooth (i.e., \dot{F} is a *diffeomorphism*).
- An **induction** is a strict injection. *Example*: inclusion of a subset.
- A **subduction** is a strict surjection. *Example*: projection to a quotient.

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Interlude: a historical question.

I have seen “strict” defined for

1. (topological groups, continuous morphisms): Bourbaki 1960,
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Question

Is 4. really nowhere to be found before 1985?

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Let us call *ordinary* the k -forms on Euclidean open sets and operations on them (pull-back, exterior derivative).

Definitions (Diffeological Cartan–de Rham calculus)

Let X and Y be diffeological spaces.

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* A k -form α on Y is a functional which sends each plot $P : V \rightarrow Y$ to an ordinary k -form on V , denoted $P^*\alpha$. As compatibility we require if $\psi \in C^\infty(U, V)$ (so $P \circ \psi$ is another plot), then

$$(P \circ \psi)^*\alpha = \psi^*P^*\alpha, \quad \psi^* : \text{ordinary pull-back.}$$

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Comments on the proof. Necessity is clear: if $\alpha = s^*\beta$, we have

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by definition of s^* ; \diamond follows. Proving the rest takes about 2 pages. \square

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There is a basic criterion for when a k -form descends to a quotient:

Theorem (Souriau's criterion, 1985)

Let $s : X \rightarrow Y$ be a subduction, α a k -form on X . In order that $\alpha = s^\beta$ for some β on Y , it is necessary and sufficient that all pairs of plots P, Q of X satisfy*

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All diffeological notions used in §1 have now been defined.

Existence of $\omega_{X//G}$: Prior State of the Art

- * If the G -action on the level $C = \Phi^{-1}(0)$ is *locally free and proper*, it has long been known that $X//G$ is an (effective) orbifold with an 'orbifold 2-form' (proof in Cushman–Bates 1997). Now when orbifolds are regarded as diffeological spaces, 'orbifold forms' define diffeological forms and conversely (Kamran–Walt 2016).

Briefly, §§4–6 will improve on this by showing: it suffices to assume *locally free or proper, or strict*.

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* Note: locally free means that the infinitesimal stabilizer \mathfrak{g}_x is zero for all $x \in C$. As $\text{Inf}(D\Phi(x)) = \text{annihilator}(\mathfrak{g}_x)$, it follows that 0 is a regular value, so C is a manifold.

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All diffeological notions used in §1 have now been defined.

Existence of $\omega_{X//G}$: Prior State of the Art

- If the G -action on the level $C = \Phi^{-1}(0)$ is *locally free and proper*, it has long been known that $X//G$ is an (effective) orbifold with an ‘orbifold 2-form’ (proof in Cushman–Bates 1997). Now when orbifolds are regarded as diffeological spaces, ‘orbifold forms’ define diffeological forms and conversely (Karshon–Watts 2016). So $X//G$ carries a reduced 2-form in this case.
- Note: locally free means that the infinitesimal stabilizer \mathfrak{g}_x is zero for all $x \in C$. As $\text{Im}(D\Phi(x)) = \text{annihilator}(\mathfrak{g}_x)$, it follows that 0 is a regular value, so C is a manifold.
- Of course, if the G -action on C is free and proper then $X//G$ itself is a manifold with a symplectic 2-form (Marsden–Meyer 1974).

Briefly, §§4–6 will improve on this by showing: it suffices to assume *locally free or proper, or strict*.

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Let a diffeological group G act on a diffeological space X . We consider the map

$$\theta : G \times X \rightarrow X \times X, \quad \theta(g, x) = (x, g(x)).$$

Definition

The G -action is *strict* if θ is a strict map (§2).

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- A free action (θ injective) is strict iff it is principal (θ induction).
Example: any free action of a Lie G on a manifold (Iglesias 1985).
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Theorem 1

*In the setting of §1, suppose that the G -action on $C = \Phi^{-1}(0)$ is **strict**. Then $X//G$ carries a reduced 2-form.*

Comments on the proof. Our above “smooth division”

$$Q(u) = R(u)(P(u))$$

is just what's needed for a straightforward application of Souriau's criterion \diamond (using elementary properties of moment maps). Subtler results (§5, §6) tend to use \diamond in tandem with e.g. Baire category. \square

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This is

$$\text{Ind}_H^G Y := (T^*G \times Y) // H = \psi^{-1}(0) / H$$

where: G is a Lie group, H is an *arbitrary subgroup* (hence canonically also a Lie group: Bourbaki 1972), (Y, ω_Y, Ψ) is a Hamiltonian H -space, and $L := T^*G \times Y$ is the Hamiltonian $G \times H$ -space with action $(g, h)(p, y) = (gph^{-1}, h(y))$ and moment map $\phi \times \psi : L \rightarrow \mathfrak{g}^* \times \mathfrak{h}^*$,

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- When H is closed, \clubsuit is a Marsden–Weinstein reduced manifold, with a residual G -action and moment map $\Phi_{L/H} : \text{Ind}_H^G Y \rightarrow \mathfrak{g}^*$.
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where: G is a Lie group, H is an *arbitrary subgroup* (hence canonically also a Lie group: Bourbaki 1972), (Y, ω_Y, Ψ) is a Hamiltonian H -space, and $L := T^*G \times Y$ is the Hamiltonian $G \times H$ -space with action

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- When H is closed, \clubsuit is a Marsden–Weinstein reduced manifold, with a residual G -action and moment map $\Phi_{L//H} : \text{Ind}_H^G Y \rightarrow \mathfrak{g}^*$.
- When H is not closed, the H -action on $\psi^{-1}(0)$ is still *strict*: so Theorem 1 yields a reduced 2-form $\omega_{L//H}$, and we have a “parasymplectic” induced Hamiltonian G -space $(\text{Ind}_H^G Y, \omega_{L//H}, \Phi_{L//H})$.

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This is $(T^*G)//H$, the reduction of T^*G by the ‘right’ action of H .

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- When H is not closed, Iglesias-Zemmoor (2010) gave meaning to the right-hand side by defining, for any diffeological space X , $T^*(X)$ as the space of (x, α) with $x \in X$ and $\alpha \in T_x^*X$.

We can ask, then, if the equality survives. It does at least for *dense* H :

Theorem 2

*Let G be a Lie group, H any dense subgroup. Then $(T^*G)//H = T^*(G/H)$ as diffeological, parasymplectic Hamiltonian G -spaces.*

Example: G the 2-torus, H an irrational winding, $G/H = \mathbb{T}_x$.

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Comments on the proof. A key step in Iglesias-Zemmour's definition is

$$T_x^*(X) := \Omega^1(X) / \{1\text{-forms vanishing at } x\}.$$

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Proposition

For H dense in G , Π^ is a linear bijection $\Omega^1(G/H) \xrightarrow{\sim} \text{annihilator}(\mathfrak{h})$.*

(Surjectivity is by another application of Souriau's \diamond .) In fact, it is not hard to generalize this into

Theorem 3 (B. Clark-Z.)

Let G be a Lie group, H any dense subgroup. Then $\mathfrak{h} \subset \mathfrak{g}$ is an ideal, and

$$\Omega^*(G/H) = \bigwedge^* (\mathfrak{g}/\mathfrak{h})^*, \quad H_{\text{dR}}^*(G/H) = H^*(\mathfrak{g}/\mathfrak{h})$$

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and consider the commutative diagram

$$\begin{array}{ccccc}
 M & \xrightarrow{r} & N & & \\
 \swarrow j_1 & & \nwarrow j_3 & & \\
 \psi_M^{-1}(0) & \xleftarrow{j} & (\phi_M \times \psi_M)^{-1}(0) & \xrightarrow{s} & \psi_N^{-1}(0) \\
 \downarrow \pi_1 & & \downarrow \pi & & \downarrow \pi_3 \\
 M//H & \xleftarrow{j_2} & \Phi_{M//H}^{-1}(0) & & \\
 & & \downarrow \pi_2 & & \\
 & & (M//H)//G & \xrightarrow{t} & N//H
 \end{array}$$

where the j 's and π 's are inclusions and projections as in §1. One checks that r sends $(\phi_M \times \psi_M)^{-1}(0)$ to $\psi_N^{-1}(0)$, so there is a map s as indicated; and s sends $G \times H$ -orbits to H -orbits, so there is a map t . Likewise one checks that the right inverse $r' : N \rightarrow M$ defined by

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$r'(x, y) = (x, \Phi(x), y)$ (where we identify $\mathfrak{g}^* \cong T_e^*G$) descends to an inverse t^{-1} of t . Now r and r' are quite explicitly smooth. Using the universal properties of inductions (the j 's) and subductions (the π 's), one deduces without trouble that t and t^{-1} are smooth, as claimed.

Next, assume that both sides carry reduced 2-forms, $\omega_{(M/H)/G}$ and $\omega_{N/H}$. We must prove $\omega_{(M/H)/G} = t^* \omega_{N/H}$. By chasing the diagram, one checks that this is equivalent to, not quite $\omega_M = r^* \omega_N$ but

$$j^* j_1^* \omega_M = j^* j_1^* r^* \omega_N, \quad (*)$$

an equality of 2-forms on $(\phi_M \times \phi_M)^{-1}(0)$ (usually not a manifold). Now $(*)$ means that its sides coincide after pull-back by any plot P of that subset, i.e., by any smooth map $P : U \rightarrow M$ taking values in $(\phi_M \times \phi_M)^{-1}(0)$. This is true, and can be checked in about 10 lines.

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